

In the United States Patent and Trademark Office

Applicant:

Robert J. Gerndt

Docket No.:

19631

Serial No.:

10/748,522

Group:

3725

Confirmation No:

7286

Examiner:

Filed:

December 30, 2003

For:

Apparatus and Method for Deforming Sheet Materials

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97(c) (1) **Before Final Rejection or Notice of Allowance** Certification Under 37 C.F.R. 1.97(e)(1) - Items Contained In a Search Report

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. 1.56 and in accordance with 37 C.F.R. 1.97 et seq., Applicant, through and by his attorneys, hereby wishes to direct the Examiner's attention to the hereinafter disclosed documents.

By inclusion of any given document in this Information Disclosure Statement, Applicant in no way admits that such document is effective as prior art against the above-identified application under either 35 U.S.C. 102 or 35 U.S.C. 103.

Additionally, submission of any document is not to be taken as an admission of the materiality of the document to the prosecution of the present application.

The Examiner is requested to review each cited document and personally determine its prior art status.

Applicant recommends that the Examiner conduct an independent search for any and all available material prior art and independently review the results of such search and the documents cited within this Statement.

Attached is a filled-in modified PTO-1449 form listing all of the documents cited in this Statement. A copy of each non-U.S. Patent document and of each non-patent document listed on the form is also presented herewith for the Examiner's review and convenience. Cited U.S. patents and U.S. patent application publications are not included in accordance with the U.S. Patent Office waiver of the

requirement under 37 C.F.R. 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003.

In accordance with 37 C.F.R. 1.97(e)(1), the undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Applicant requests the Examiner, in accordance with 37 C.F.R. 1.97, to indicate and make of record receipt and review of all of these documents by initialing the appropriate box in the accompanying PTO-1449. Applicant requests that the undersigned receive a copy of the initialed PTO-1449.

If the Examiner refuses to consider any or all of the herein listed materials because it is the Examiner's opinion that this Information Disclosure Statement is not in compliance with 37 C.F.R. 1.97, Applicant respectfully requests that the Examiner notify the undersigned, in writing, as to the basis of such opinion.

Please charge any prosecutional fees which are due to Kimberly-Clark Worldwide, Inc. deposit account number 11-0875.

The undersigned may be reached at: (770) 587-8908.

Respectfully submitted,

ROBERT J. GERND

Robert A. Ambrose

Registration No.: 51,231

CERTIFICATE OF MAILING

I, Robert A. Ambrose, hereby certify that on ______ this document is being deposited with the United States Postal Service as/first-class mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Robert A. Ambrose

By:



List of Patents and Publications for Information Disclosure Statement

Robert J. Gerndt

Docket

19631

Serial No.:

10/748,522

Group:

3725

Filed:

December 30, 2003

Examiner:

			U.S. PATENT D	OCUMENTS							
Initials		Doc. No.	Date	Name	Class	Subclass	Filing Date				
	A1	3,471,363	10/7/1969	Schmidt							
	A2	5,167,897	12/1/1992	Weber et al.							
	А3	2001/035257	11/1/2001	Fujii et al.							
	A4	2002/034912	3/21/2002	Curro et al.							
		F	oreign Patent	Documents				-			
•							Trans.				
Initials		Doc. No.	Date	Country	Class	Subclass	Yes	No			
	A1	GB 921,138	3/13/1963	GB							
	A2	WO 91/15367	10/17/1991	WO-PCT							
	A3	WO 2004/020174	3/11/2004	WO-PCT							
_			Other Doc	uments				_			
Initials		Author, Title, Date, Pages, etc.									
	A1					<u> </u>					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include a copy of this form with your next communication.

E	72	m	١i	n	Δ	r	٠
_	١a	.,			C	•	٠

Date Considered: